



2124/1#

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application No.	09/888,082	
	Filing Date	June 22, 2001	
	First Named Inventor		
	Art Unit	2124	
	Examiner Name	KANG, INSUN	
Total Number of Pages in This Submission		Attorney Docket Number	42390P18906

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<div>Copies of 38 Cited refs. Check in the amount of \$180.00 Return Postcard</div>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Basic Filing Fee		
<input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Paul A. Mendonsa, Reg. No. 42,879 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	October 7, 2004

CERTIFICATE OF MAILING/TRANSMISSION			
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Signature		Date	October 7, 2004



# FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ ) 180.00

## Complete if Known

Application Number 09/888,082  
Filing Date June 22, 2001  
First Named Inventor  
Examiner Name KANG, INSUN  
Art Unit 2124  
Attorney Docket No. 42390PI8906

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ )

### 2. EXTRA CLAIM FEES

Total Claims  - 20\* =  X  =   
Independent Claims  - 3 =  X  =   
Multiple Dependent  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	44	Independent claims in excess of 3	
1203	300	2203	150	Multiple Dependent claim, if not paid	
1204	88	2204	44	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ )

\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after - Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1404	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,370	2453	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
SUBTOTAL (3)					(\$ ) 180.00

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Paul A. Mendonsa

Registration No. 42,879  
(Attorney/Agent)

Telephone (503) 439-8778

Signature

Date

10/07/04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Application No.: 09/888,082

Filed: June 22, 2001

For: **DYNAMIC CONTROL GRAPHS FOR  
ANALYSIS OF  
COORDINATION-CENTRIC  
SOFTWARE DESIGNS**

Art Group: 2124

Examiner: KANG, INSUN

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

10/13/2004 SSANDARA 00000013 09888082

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180.00 OP

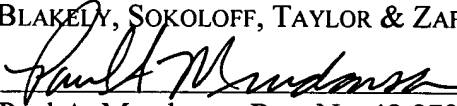
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

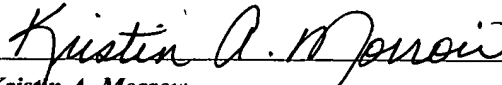
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: October 07, 2004

  
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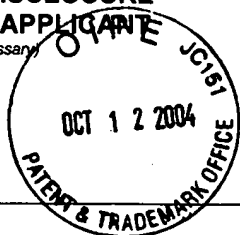
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Complete if Known

Application Number 09/888,082  
Filing Date June 22, 2001  
First Named Inventor HINES, Kenneth  
Group Art Unit 2122  
Examiner Name Unknown

Sheet 1 of 2

Attorney Docket No: 42P18906

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-5,581,691	12/03/1996	Hsu, , et al.	395	182.13	08/18/1995
	US-5,794,046	08/11/1998	Meier, , et al.	395	704	06/05/1997
	US-5,819,270	10/06/1998	Malone, , et al.	707	7	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
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**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		BATES, P.C. , "Debugging programs in a distributed system environment", Ph.D. Thesis, University of Massachusetts, Amherst, (1986),	
		BATES, P.C. , et al., "High-level debugging of distributed systems: The behavioral abstraction approach", <u>The journal of systems and software</u> , Vol. 3, No. 4, (Dec. 1983),255-264	
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		BRYANT, R E., "Symbolic boolean manipulation with ordered binary-decision diagrams", <u>ACM Computing Surveys</u> , (1992),293-318	
		COBRA, "Cobra Overview", <u>Cobra v2.0</u> , July 1995, (July 1995),2-1 through 18-5	
		COOPER, R , et al., "Consistent detection of global predicates", <u>ACM/ONR workshop on parallel &amp; distributed debugging</u> , (1991),167-174	
		FIDGE, C J., "Logical time in distributed computing systems", <u>IEEE Computer</u> , 24(8), (1991),28-33	
		GARG, V K., et al., "Detection of Weak Unstable Predicates in Distributed Programs", <u>IEEE Transactions on Parallel and Distributed Systems</u> Vol. %, No. 3 March 1994, (1994),299-307	
		HARTER, JR., P K., et al., "IDD An interactive distributed debugger", <u>Proc. of the 5th international conference on distributed computing systems</u> , (1985),498-506	
		HINES, K , et al., "Debugging distributed implementations of modal process	

EXAMINER

DATE CONSIDERED

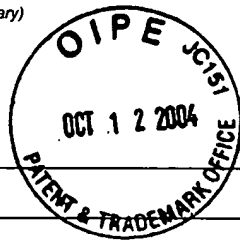
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**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	09/888,082
Filing Date	June 22, 2001
First Named Inventor	HINES, Kenneth
Group Art Unit	2122
Examiner Name	Unknown

Sheet 2 of 2

Attorney Docket No: 42P18906

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		systems", <u>Lecture Notes in Computer Science 1474</u> , (1998),98-107	
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		TAYLOR, D J., "Event Displays for Debugging and Managing Distributed Systems", <u>International Workshop on Network and Systems Management</u> , (1995),	
		TSAI, JJ P., et al., "A noninvasive architecture to monitor real-time distributed systems", <u>IEEE Computer</u> , 23, (1990),11-23	
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		ZERNIK, D , et al., "Using visualization tools to understand concurrency", <u>IEEE Software</u> , 9(3), (1992),87-92	

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